2/2022

**KEVIN J. DOUGHERTY**

CURRICULUM VITA

Department of Education Policy and Social Analysis 405 Grant Ave.

Box 11, Teachers College, Columbia University Highland Park, NJ 08904

525 W. 120th St., New York, NY 10027

[kd109@columbia.edu](mailto:kd109@columbia.edu); 212-678-8107

**EDUCATION**

Ph.D., Harvard University, Department of Sociology, June 1983.

Topic: “The Politics of Community College Expansion.”

M.A., Harvard University, Department of Sociology, November 1978.

Topic: “Social Stratification and Education.”

B.A. (Magna cum laude), Washington University (St. Louis), Political Science, June 1972.

**CURRENT TEACHING AND RESEARCH POSITIONS**

Sept. 2000- Professor of Higher Education and Education Policy, Department of Education Policy and Social Analysis, Teachers College, Columbia University. Offering courses on higher education policy, politics and public policy, social movements and higher education change, high school to college transition policy, and college access and success.

Mar. 1997- Research Affiliate, Community College Research Center, Teachers College, Columbia University.

**PAST TEACHING AND RESEARCH POSITIONS**

Jan. 2016- Fulbright Scholar, Birkbeck College, University of London.

June 2016

Sept. 1987- Associate to Full Professor, Manhattan College.

Aug. 2000

Sept. 1995- Visiting Scholar, New York University, School of Education.

May 1996

Feb. 1993- Visiting Research Associate Professor, Department of Sociology,

May 1995 State University of New York at Stony Brook

Sept. 1982- Assistant Professor, Manhattanville College, Department of Sociology.

Aug. 1987

Sept. 1983- Research Scientist, Center for Applied Social Science Research,

June 1984 New York University.

**CURRENT RESEARCH INTERESTS**

Industrial policy, left and right: Convergences and divergences between liberal and conservative proposals for sectorally based economic development and the political implications of those convergences and divergences.

Political power and policy making: How do we reconceptualize the role of political power in studies of the origins and implementation of public policies? How do we bring in a greater awareness of the Lukes’s second and third dimensions of power, involving control of the political agenda and shaping how other political groups view their interests?

Higher education choice-making and informational inequality: How do students go about making choices about higher education (college entrance, college choice, major choice, etc.) and what can be done to reduce inegalitarian outcomes of this choice process? To what extent does the choice-making process reproduce social inequality insofar as students have differential access by social background to good information about the nature and impacts of different choices? How do students who make choices that apparently do not benefit them assign meaning to those choices, including attributions of personal blame and societal blame?

Higher education roles: How well and in what ways do universities reconcile the roles of academic research, economic innovation, and social inclusion? To what extent does an emphasis on academic research and economic innovation obstruct concern for enhancing social inclusion and mobility? What are the programs and practices used by universities that are successful in simultaneously pursuing research/innovation and social inclusion? What internal conditions and external conditions/policies/political forces enhance such a simultaneous support?

The political origins of economic development policies involving higher education. If there is a return to a major focus on industrial policy, what role might higher education play in such policy? How might this role differ between liberal and conservative industrial policies?

Neoliberal policymaking and higher education: What light does performance funding for higher education shed on the strengths and weaknesses of neoliberal theory in such forms as performance management, the New Public Management, and principal-agent theory?

**PUBLICATIONS**

**Books**

*Performance Funding for Higher Education* (with Sosanya Jones, Hana Lahr, Rebecca S. Natow, Lara Pheatt, & Vikash Reddy), Johns Hopkins University Press, October 2016.

*The Politics of Performance Funding for Higher Education: Origins, Discontinuations, and Transformations* (with Rebecca S. Natow), Johns Hopkins University Press, May 2015.

*Performance Funding for Higher Education: What Are the Mechanisms? What Are the Impacts?* (with Vikash Reddy). ASHE Higher Education Report: Vol. 39, Number 2 (June 2013), pp. 1-133.

*Community College Missions in the 21st Century* (co-edited with Barbara Townsend). New Directions for Community Colleges #136 (November 2006). San Francisco: Jossey-Bass.

*ASHE Reader on Community Colleges* (Associate Editor with Barbara Townsend, Debra Bragg, Frankie Laanan, and Berta Vigil Laden). New York: Pearson, 2006

*The Contradictory College: The Conflicting Origins, Impacts, and Futures of the Community College*. Albany, NY: State University of New York Press, 1994. Winner of the Willard Waller Award for the Outstanding Book in the Sociology of Education in the years 1994-1996.

*Education and Society* (co-edited with Floyd Hammack). Orlando, FL: Harcourt, Brace, and Jovanovich, 1990.

**Journal Articles**

“Comparing and Learning from English and American Higher Education Access and Completion Policies” (with Claire Callender). *Policy Reviews in Higher Education* 4(2) (2020), 203-227.

“Performance-Based Funding for Higher Education: How Well Does Neoliberal Theory Capture Neoliberal Practice?” (with Rebecca Natow). *Higher Education* 80 (2020), pp. 457-478.

“Performance Funding as Neoliberal Policy” (with Rebecca Natow). *International Higher Education* 98 (Summer 2019), pp. 7-8.

“Transatlantic Lessons on Higher Education Access and Completion Policy” (with Claire Callender). *International Higher Education* 92 (Winter 2018), pp. 2-4.

“Student Choice in Higher Education—Reducing or Reproducing Social Inequalities?" (with Claire Callender). *Social Sciences* (Basel), 7(10) (2018), 1-28. Available from: https://www.mdpi.com/2076-0760/7/8/131

“Looking Inside the Black Box of Performance Funding for Higher Education: Policy Instruments, Outcomes, Obstacles, and Unintended Impacts” (with Sosanya Jones, Hana Lahr, Rebecca S. Natow, Lara Pheatt, & Vikash Reddy). *RSF: Russell Sage Foundation Journal of the Social Sciences* 2(1) (2016), pp. 147-173. Available from <https://www.rsfjournal.org/content/2/1/147>

“Performance Funding for Higher Education: Forms, Origins, Impacts, and Futures” (with Sosanya Jones, Hana Lahr, Rebecca S. Natow, Lara Pheatt, and Vikash Reddy). *Annals of the American Academy of Political and Social Science*, 655 (Sept. 2014), pp. 163-184.

“Accounting for higher education accountability: Political origins of state performance funding for higher education” (with Rebecca S. Natow, Rachel Hare Bork, Sosanya M. Jones, and Blanca E. Vega). *Teachers College Record* 115 (1) (January 2013). Reprinted in John C. Weiman et al. (eds.), *ASHE Reader on Economics and Finance of Higher Education* (Pearson, 2015)

“How Will New College Scorecard Matter: The Benefits, Limits, and Costs of the Federal Push for Greater Consumer Information and Institutional Accountability,” *Sociology of Education Section Newsletter*, 16 (3) (Fall 2013), pp. 5-6.

“Popular but unstable: Explaining why state performance funding systems in the United States often do not persist” (with Rebecca S. Natow and Blanca E. Vega). *Teachers College Record* 114 (3) (March 2012).

“Undocumented Immigrants and State Higher Education Policy: The Contrasting Politics of In-State Tuition Eligibility in Texas and Arizona” (with H. Kenny Nienhusser and Blanca E. Vega). *Review of Higher Education* 34 (1) (Fall 2010), pp. 123-173.

“English Further Education through American Eyes.” *Higher Education Quarterly* 63 (4) (Oct. 2009), pp. 343-356.

“Helping Students Enter and Succeed: Access, Success, and Accountability Policies in the ‘Achieving the Dream’ States” (with Monica Reid and H. Kenny Nienhusser). *Community College Journal* (Oct.-Nov. 2006), pp. 42-47.

“It’s Not Enough to Get Through the Open Door: Inequalities by Social Background in Transfer

from Community Colleges to Four-Year Colleges” (with Greg Kienzl). *Teachers College Record* 108(3) (March 2006): 452-487. Reprinted in Alan Sadovnik (ed.), *Sociology of Education: A Critical Reader* (Routledge, 2007 and 2010)

“Community Colleges and Contract Training: Content, Origins, and Impacts” (with Marianne Bakia). *Teachers College Record* 102 (1) (Feb. 2000): 197-243.

"The Community College: Perils and Prospects." *Community Review* 15 (1998): 7-11.

"Mass Higher Education: What Is Its Impetus? What Is Its Impact?" *Teachers College Record* 99 (Fall 1997): 66-72.

"Opportunity to Learn Standards: A Sociological Critique." *Sociology of Education* 68 (May 1996): 40-65.

"Minerva and the Market: The Sources of the Movement for School Choice" (with Lizabeth Sostre). *Educational Policy* 6 (June 1992): 160-179. Reprinted in Peter Cookson (ed.), *The Choice Controversy* (Corwin Press, 1992)

"Community Colleges and Baccalaureate Attainment." *Journal of Higher Education* 63 (March-April 1992): 188-214.

"The Community College at the Crossroads." *Harvard Educational Review* 61 (August 1991): 311-336. Reprinted in Jim Ratcliff and Stephanie Schwartz (eds.), *The ASHE Reader on Community Colleges* (Ginn, 1994)

"Educational Policy-Making and the Relative Autonomy of the State: The Case of Occupational Education in the Community College." *Sociological Forum* 3 (Summer 1988): 400-432.

"The Politics of Community College Expansion." *American Journal of Education* 96 (May 1988): 351-393.

"The Effects of Community Colleges: Aid or Hindrance to Socioeconomic Attainment?" *Sociology of Education* 60 (April 1987): 86-103. Reprinted in Alan R. Sadovnik et al., *Exploring Education* (Allyn and Bacon, 1994)

**Contributions to Edited Volumes**

“The American Community College: Complex Origins, Challenging Reforms” (with Hana Lahr & Vanessa S. Morest). In Jim Gallacher & Fiona Reeve (eds.), *New Frontiers for College Education: International Perspectives* (pp. 202-220). London, UK: Routledge, 2019.

“Performance-Based Funding, Higher Education in the US.” In P. N. Texeira & J. C. Shin (eds.), *Encyclopedia of International Higher Education Systems and Institutions*. Dordrecht, Netherlands: Springer, 2018. https://doi.org/10.1007/978-94-017-9553-1\_63-2

“Governance as a Source of Sector Convergence in a Changing Sociopolitical Landscape” (with Jeffrey Henig). In Christopher P. Loss and Patrick McGuinn (eds.), *Convergence: U.S. Education Policy Fifty Years after the ESEA and the HEA of 1965* (Harvard Education Press, 2016), pp. 21-41.

“The Community College: The Origins, Impacts, and Futures of a Contradictory Institution” (with Vanessa S. Morest). In Jeanne Ballantine and Joan Spade (eds.), *Sociology of Education*. 4th ed. Saddle River, NJ: Prentice-Hall, 2015.

“US Community Colleges and Lessons for British Further Education Colleges.” In Tony Dolphin and Jonathan Clifton (eds.), *Colleges 2020*. London: IPPR, April 2010.

“Community College Missions: A Theoretical and Historical Perspective” (with Barbara Townsend.) Pp. 5-14 in Barbara Townsend and Kevin J. Dougherty (eds.), *Community College Missions in the 21st Century*. New Directions for Community Colleges #136 (November 2006). San Francisco: Jossey-Bass.

“The Uncertain Future of the Workforce Development Mission of Community Colleges” (with James Jacobs). Pp. 53-62 in Barbara Townsend and Kevin J. Dougherty (eds.), *Community College Missions in the 21st Century*. New Directions for Community Colleges #136 (November 2006). San Francisco: Jossey-Bass.

“Performance Accountability as Imperfect Panacea: The Community College Experience” (with Esther Hong). In Thomas Bailey and Vanessa Smith Morest (eds.), *Defending the Community College Equity Agenda* (Baltimore: Johns Hopkins University Press, 2006), pp. 51-86.

# “Necessary but not Sufficient: Higher Education Reform as a Strategy of Social Mobility” (with David Karen). In Gary Orfield, Patricia Marin, and Catherine Horn (eds.), *Higher Education and the Color Line*. Cambridge, MA: Harvard Educational Publishing Group, 2005.

“The Uneven Distribution of Employee Training by Community Colleges: Description and Explanation.” *Annals of the American Academy of Political and Social Science* 586. Special issue on Community Colleges: New Environments, New Directions, edited by Kathleen Shaw and Jerry A. Jacobs (March 2003): 62-91.

“The Community College.” Pp. 116-124 in James J.F. Forest and Kevin Kinser (eds.), *Higher Education in the United States: An Encyclopedia*. Santa Barbara, CA: ABC/Clio, 2002.

“The Evolving Role of the Community College: Policy Issues and Research Questions.” Pp. 295-348 in John Smart and William Tierney (eds.), *Higher Education: Handbook of Theory and Research*, vol. 17. New York: Kluwer, 2002.

"Community Colleges." Pp. 99-110 in David L. Levinson, Alan R. Sadovnik, and Peter W. Cookson, Jr. (eds.), *Education and Sociology: An Encyclopedia*. New York: Garland, 2002.

"Politics of Education." In David L. Levinson, Alan R. Sadovnik, and Peter W. Cookson, Jr. (eds.), *Education and Sociology: An Encyclopedia*. New York: Garland, 2002.

“State Policies and the Community College’s Role in Workforce Preparation.” Pp. 129-178 in Barbara Townsend and Susan Twombly (eds.), Community *Colleges: Policy in the Future Context*. Stamford, CT: Ablex, 2001.

"Educational Organization" (with Floyd Hammack). In Edward Borgatta et al. (eds.), *The Encyclopedia of Sociology*. New York: Macmillan, 2000.

"The Implications of the Goals 2000 Legislation" (with Peter Hall). In Kathryn Borman et al. (eds.), *Implementing Federal Legislation: Sociology and Educational Policy*. Stamford, CT: Ablex, 1996

"Opportunity to Learn Standards" (with Harold Himmelfarb and Thomas Hoffer). In Kathryn Borman et al. (eds.), *Implementing Federal Legislation: Sociology and Educational Policy*. Stamford, CT: Ablex, 1996.

"The Higher Education System." In Dougherty and Hammack (eds.), *Education and Society* (Harcourt, Brace, Jovanovich, 1990)

"The Other Side of College: The Nonacademic Effects of Higher Education" (with Floyd M. Hammack). In Dougherty and Hammack (eds.), *Education and Society* (Harcourt, Brace, Jovanovich, 1990)

**Reviews**

Review of Otis L. Graham, *Losing Time: The Industrial Policy Debate* (Harvard University Press, 1992). *American Journal of Sociology* (Nov. 1993): 774-776.

Essay Review: “Analyzing the Development of Education in America.” *Studies in American Political Development* 6 (Fall 1992): 445-462.

Review of William Clune and John Witte (eds.), *Choice and Control in Education* (Falmer Press, 1990). *Contemporary Sociology* 21 (1992): 827-828.

Essay Review: “High School Tracking and Social Stratification." *Review of Education* 12 (Winter 1986): 34-38.

Essay Review: "The Rise of the Professions and the New Middle Class." *Journal of the History of Sociology* (October 1981): 115-123.

Essay Review: "After the Fall: Research on School Effects since the Coleman Report." *Harvard Educational Review* 51 (May 1981): 301-308.

**Research Reports and Working Papers**

*U.S. Industrial Policies, Left and Right: Convergence, Divergence, and Political Implications* (with Tara Habibi). New York, NY: Teachers College, April 2021. Available from: https://academiccommons.columbia.edu/doi/10.7916/d8-1449-9k03

*Analyzing Neoliberalism in Theory and Practice: The Case of Performance-Based Funding for Higher Education* (with Rebecca S. Natow). Working Paper #43. London, UK: UCL Institute of Education, Centre for Global Higher Education, March 2019. Available from: https://www.researchcghe.org/publications/working-paper/analysing-neoliberalism-in-theory-and-practice-the-case-of-performance-based-funding-for-higher-education

*Higher Education Choice-Making in the United States: Freedom, Inequality, Legitimation*. Working Paper #35. London, UK: Centre for Global Higher Education, UCL Institute of Education, May 2018. Available from: http://www.researchcghe.org/publications/higher-education-choice-making-in-the-united-states-freedom-inequality-legitimation

*Reforming the American Community College: Promising Prospects and their Challenges* (with Hana Lahr and Vanessa S. Morest). New York, NY: Teachers College, Community College Research Center, November 2017. Available from: https://ccrc.tc.columbia.edu/publications/reforming-american-community-college-promising-changes-challenges.html

*English and American Higher Education Access and Completion Policy Regimes: Similarities, Differences, and Possible Lessons* (with Claire Callender). Working Paper #24. London, UK: Centre for Global Higher Education, UCL Institute of Education, University of London, August 2017. Available from: http://www.researchcghe.org/publications/english-and-american-higher-education-access-and-completion-policy-regimes-similarities-differences-and-possible-lessons

*Organizational Learning by Colleges Responding to Performance Funding: Deliberative Structures and Their Challenges* (with Jones, S. M., Lahr, H., Natow, R. S., Pheatt, L., & Reddy, V.). New York, NY: Columbia University, Teachers College, Community College Research Center, March 2015.

*Implementing Performance Funding in Three Leading States: Instruments, Outcomes, Obstacles, Unintended Impacts, and Responses. Summary Final Report to Lumina Foundation* (with Lahr, H., Jones, S. M., Natow, R. S., Pheatt, L., & Reddy, V.). New York, NY: Columbia University, Teachers College, Community College Research Center, September 2014.

*Institutional Changes to Organizational Policies, Practices, and Programs following the Adoption of State-level Performance Funding Policies* (with Natow, R. S., Pheatt, L., Jones, S. M., Lahr, H., & Reddy, V.). New York, NY: Columbia University, Teachers College, Community College Research Center, September 2014.

*Obstacles to the Effective Implementation of Performance Funding: A Multi-state Cross-case Analysis* (with Pheatt, L., Lahr, H., Jones, S. M., Natow, R. S., & Reddy, V.). New York, NY: Columbia University, Teachers College, Community College Research Center, September 2014.

*Policy Instruments in Service of Performance Funding: A Study of Performance Funding in Three States* (with Reddy, V., Lahr, H., Jones, S. M., Natow, R. S., & Pheatt, L.). New York, NY: Columbia University, Teachers College, Community College Research Center, September 2014.

*Unintended Impacts of Performance Funding on Community Colleges and Universities in Three States* (Lahr, H., Pheatt, L., Jones, S. M., Natow, R. S., & Reddy, V.). New York, NY: Columbia University, Teachers College, Community College Research Center, September 2014.

*The Political Origins of "Performance Funding 2.0" in Indiana, Ohio, and Tennessee:*

*Theoretical Perspectives and Comparisons to Performance Funding 1.0* (with Sosanya Jones, Hana Lahr, Rebecca Natow, Lara Pheatt, and Vikash Reddy). New York: Community College Research Center, Teachers College, Columbia University, February 2014. http://ccrc.tc.columbia.edu/publications/political-origins-performance-funding-2.html

*Envisioning Performance Funding Impacts: The Espoused Theories of Action for State Higher Education Performance Funding in Three States* (with Sosanya Jones, Hana Lahr, Rebecca Natow, Lara Pheatt, and Vikash Reddy). New York: Community College Research Center, Teachers College, Columbia University, June 2013. http://ccrc.tc.columbia.edu/publications/envisioning-performance-funding-impacts.html

*The Impacts of State Performance Funding Systems on Higher Education Institutions: Research Literature Review and Policy Recommendations* (with Vikash Reddy). New York: Community College Research Center, Teachers College, Columbia University, November 2011. http://ccrc.tc.columbia.edu/publications/impacts-state-performance-funding.html

*The Politics of Performance Funding in Eight States: Origins, Demise, and Change. Final Report to Lumina Foundation for Education* (with Rebecca S. Natow, Rachel J. Hare, Sosanya M. Jones, and Blanca E. Vega). New York: Community College Research Center, Teachers College, Columbia University, February 2011. http://ccrc.tc.columbia.edu/publications/performance-funding-eight-states.html

*The Political Origins of State-Level Performance Funding for Higher Education: The Cases of Florida, Illinois, Missouri, South Carolina, Tennessee, and Washington* (with Rebecca Natow, Rachel Hare, and Blanca Vega). CCRC Working Paper No. 22. New York: Community College Research Center, Teachers College, Columbia University, October 2010.

*Continuity and Change in Long-Lasting State Performance Funding Systems for Higher Education: The Cases of Tennessee and Florida* (with Rebecca Natow). CCRC Working Paper No. 18. New York: Community College Research Center, Teachers College, Columbia University, February 2010. http://ccrc.tc.columbia.edu/publications/performance-funding-tennessee-florida.html

*Performance Accountability Systems for Community Colleges: Lessons for the Voluntary Framework of Accountability for Community Colleges. Report to the College Board* (with Rachel Hare and Rebecca Natow). New York: Community College Research Center, Teachers College, Columbia University, November 2009. http://ccrc.tc.columbia.edu/publications/performance-accountability-systems.html

*The Demise of Higher Education Performance Funding Systems in Three States* (with Rebecca Natow). CCRC Working Paper #17. New York: Community College Research Center, Teachers College, Columbia University, May 2009. http://ccrc.tc.columbia.edu/publications/performance-funding-demise-three-states.html

*Fifty States of Achieving the Dream: State Policies to Enhance Access to and Success in Community Colleges across the United States* (with Monica Reid). New York: Community College Research Center, Teachers College, Columbia University, March 2007. http://ccrc.tc.columbia.edu/publications/fifty-states-achieving-the-dream.html

*Achieving the Dream in Ohio: An Audit of State Policies to Aid Student Access to and Success in Community College* (with James Marshall and Andrea Soonachan). New York: Community College Research Center, Teachers College, Columbia University, November 2006. http://ccrc.tc.columbia.edu/Publication.asp?UID=468

*Achieving the Dream in Connecticut: An Audit of State Policies to Aid Student Access to and Success in Community Colleges* (with Monica Reid)*.* New York: Community College Research Center, Teachers College, Columbia University, November 2006.

*State Policies to Achieve the Dream in Five States: An Audit of State Policies to Aid Student Access to and Success in Community Colleges in Florida, New Mexico, North Carolina, Texas, and Virginia* (with Monica Reid and H. Kenny Nienhusser). New York: Community College Research Center, Teachers College, Columbia University, February 2006. http://ccrc.tc.columbia.edu/publications/state-policies-achieving-the-dream.html

*Five States of Policy: A Brief on State Community College Policies and Policy Needs in the First Five Achieving the Dream States* (with Monica Reid and H. Kenny Nienhusser)*.* Community College Research Center, Teachers College, Columbia University, February 2006.

*The New Economic Development Role of the Community College: Final Report to the Sloan Foundation* (with Marianne F. Bakia). Community College Research Center, Teachers College, Columbia University, November 1999. http://ccrc.tc.columbia.edu/publications/economic-development-role-community-college.html

*A Review and Analysis of the Literature on Democratization and Other Issues Confronting Higher Education in Developing Countries*. Report to the Ford Foundation and New York University, 1996.

*The States and Science: The Politics of High Technology Industrial Policy at the State Level* (with Henry Etzkowitz). Final report to the National Science Foundation, January 1996.

*Schools to the Rescue: The Political Origins of the Educational Excellence Movement*. Report to the Spencer Foundation, March 1992.

*Westchester Worlds: Findings from the 1980 Census* (with Henry Etzkowitz). Purchase, NY: Westchester Institute for Social and Economic Research, May 1985.

*The Politics of Federal Higher Educational Policymaking: 1945-1980*. (Report submitted to the National Institute of Education.) Cambridge, MA: Huron Institute, 1980.

*The Politics of Federal Vocational Education Legislation, 1963-1979*. (Report submitted to the National Institute of Education.) Cambridge, MA: Huron Institute, 1979. (ERIC ED)

"Interactions between Work and Family Life." Chapter in Working Family Project, *Work and the Family: Final Report to the N.I.M.H.* Cambridge, MA: Center for the Study of Public Policy, 1977.

**Conference Presentations**

“Performance-Based Funding for Higher Education: How Well Does Neoliberal Theory Capture Neoliberal Practice” (with Rebecca Natow). American Sociological Association, August 2019.

“The Impacts (Intended and Unintended) of Performance Funding for Higher Education   
in the United States.” Keynote address, Community College League of California. Lake Tahoe, CA, May 6, 2017.

“The Impacts (Intended and Unintended) of Performance Funding for Higher Education   
in the United States.” Center for Analysis of Postsecondary Education and Employment. Washington, DC, April 6, 2017

“The U.S. Community College: Promising Directions, Possible Pitfalls.” International Education Symposium on World Class College Education and Skills, City of Glasgow City College. Glasgow, UK, Oct. 26, 2016.

“Performance Funding for Higher Education in the United States: Origins, Discontinuations, Transformations.” Centre for Global Higher Education, UCL Institute of Education, University of London, April 22, 2016.

“Impacts (Intended and Unintended) of Performance Funding for Higher Education   
in the United States.” Centre for Higher Education Studies, UCL Institute of Education,

University of London, March 1, 2016.

“Looking Inside the Black Box of Performance Funding for Higher Education: Policy Instruments, Outcomes, Obstacles, and Unintended Impacts.” Presentation to conference on “Higher Education Effectiveness,” Russell Sage Foundation, New York City, December 12, 2014

“Performance Funding for Higher Education: Forms, Extent, and Origins.” Presentation to the 2014 annual conference of the Association for the Study of Higher Education, Washington, DC, Nov. 20, 2014

“Performance Funding for Higher Education: Forms, Extent, Impacts, and Implications.” Presentation to the conference on the “Value of Higher Education” of the Center for the Analysis of Postsecondary Education and Employment, Washington, DC, Sept. 18, 2014.

“Obstacles and Unintended Impacts: A Study of Performance Funding at Community Colleges in Three States” (with Hana Lahr, Lara Pheatt, Sosanya Jones, Rebecca Natow, and Vikash Reddy). Presentation to the American Educational Research Association, Philadelphia, April 4, 2014.

“Performance Funding: What Policy Instruments and Theories of Action Underlie This Popular Higher Education Policy?” (with Vikash Reddy, Hana Lahr, Sosanya Jones, Rebecca Natow, and Lara Pheatt). Presentation to the American Educational Research Association, Philadelphia, April 4, 2014.

“Performance Funding and Organizational Learning in Three States: Forms, Hindrances, and State Support” (with Sosanya Jones, Hana Lahr, Rebecca Natow, Lara Pheatt, and Vikash Reddy). Presentation to the American Educational Research Association, Philadelphia, April 5, 2014.

“The Espoused Theory of Action of Higher Education Performance Funding: How Tightly Coupled Is It?” Presentation to the Association for the Study of Higher Education, St. Louis, November 2013.

“The Political Origins of "Performance Funding 2.0" in Indiana, Ohio, and Tennessee”(with Sosanya Jones, Hana Lahr, Rebecca Natow, Lara Pheatt, and Vikash Reddy). Presentation to the American Educational Research Association, San Francisco, April 30, 2013.

“Two Worlds of State Performance Accountability” (with Vikash Reddy). Presentation to the American Educational Research Association, Vancouver, B.C., April 2012.

“Postsecondary Indicators and Community Colleges,” National Research Council, Workshop on National Education Indicators, Washington, DC, January 27-28, 2012

*“*The Impacts of State Performance Funding Systems on Higher Education Institutions: Review of the Research Literature” (with Vikash Reddy). Presentation to the Association for the Study of Higher Education, Charlotte, NC, November 16, 2011.

“Performance Funding: Lessons Learned.” Presentation to the Board of the North Carolina Community College System. Kannapolis, NC, October 19, 2011.

“Performance Funding: The State of the Art.” Presentation to the National Conference of State Legislatures, Annual Legislative Institute on Higher Education, Denver, CO, October 14, 2011.

“State Performance Funding for Higher Education: Origins, Impacts, and Demise: Policy Implications.” Presentation to the Achieving the Dream State Policy Meeting, February 8, 2011.

[http://ccrc.tc.columbia.edu/Presentation.asp?uid=354](http://ccrc.tc.columbia.edu/Presentation.asp?uid=354))

“Performance Funding: What Works and Doesn’t Work.” Presentation to the National Governors Association, Center for Best Practices, National Meeting on “Increasing Postsecondary Credential Attainment by Adults: An Institute for State Policymakers,” March 24-25, 2010, Washington, DC.

“State Performance Funding for Higher Education: Impacts, Change, Demise.” Presentation to the National Conference on State Legislatures, National Education Seminar, March 12-13, 2010, New York City, NY

“How State Performance Funding Programs Change in Funding and Content over Time” (with Rebecca Natow). Presentation to the November 2009 Annual Meeting of the Association for the Study of Higher Education.

“English Further Education through American Eyes.” Presentation to the November 2009 Annual Meeting of the Association for the Study of Higher Education.

“State Performance Funding for Higher Education: Policy Lessons.” Presentation to the Summer 2009 Legislative Summit of the National Conference of State Legislatures, July 21, 2009, Philadelphia.

“Popular but Unstable: Explaining Why State Performance Funding Systems Do Not Persist” (with Rebecca Natow). Presentation to American Educational Research Association, April 2009.

“Determinants of Performance Funding Adoption and Demise.” Presentation to Lumina Foundation for Education, Making Opportunity Affordable Conference, Indianapolis, April 10, 2009.

“Why Texas but Not Arizona? The Political Factors Behind the Success and Failure of State Legislation to Make Undocumented Immigrants Eligible for In-State Tuition” (with Kenny Nienhusser and Blanca Vega). Presentation to the November 2008 Annual Meeting of the Association for the Study of Higher Education.

"Performance Funding through Theoretical Lenses: Examining the Applicability of the Advocacy Coalition Framework.” (with Rebecca Natow). Presentation to the November 2008 Annual Meeting of the Association for the Study of Higher Education.

“The Political Origins of State-Level Performance Funding for Higher Education” (with Rebecca Natow). Presentation to the American Educational Research Association, March 2008.

“The Political Origins of Instability in Performance Funding” (with Rebecca Natow). Presentation to Association for the Study of Higher Education, November 2007.

*“*Race, Gender and SES Effects on College Choice, Achievement and Attainment.” Discussant, American Sociological Association, August 11, 2007.

“Transfer Troubles: Problems and Policies Involving Transfer from Community Colleges to Four-Year Colleges.” Presentation to Achievement Gap Symposium, Educational Testing Service, May 2007.

“State Performance Accountability in Higher Education: A Symposium Discussion of its Origins, Impacts, and Futures.” Organizer, Association for the Study of Higher Education, Anaheim, November 2006

“State Policy Gaps: Community College Student Access and Success.” Presentation to Community College Affinity Partnership, Pittsburgh, PA, May 2006.

“The Racial Politics of Community College Policymaking” (with Monica Reid and H. Kenny Nienhusser). Presentation to American Educational Research Association, April 2006.

“States of Community College Policymaking: Explaining State Differences in Extent and Foci of Policymaking” (with Monica Reid and H. Kenny Nienhusser). Presentation to American Educational Research Association, April 2006.

“Accountability and the Community College: Forms, Impacts, and Problems” (with Esther Hong). Presentation to Association for the Study of Higher Education, November 2005.

“State Remediation Policies in the Achieving the Dream States.” Presentation to the Achieving the Dream State Policy Conference, Chicago, June 2006.

“The Community College Baccalaureate,” Presider and discussant, American Educational Research Association, Montreal, April 2005.

“The New Economic Development Role of the Community College.” Invited presentation, Presidential Panel, Association for the Study of Higher Education, November 2004.

“Financing Higher Education in the United States: Structure, Trends, and Issues.” Invited lecture, Peking University, May 2004.

“Political Origins of State Level Accountability for Higher Education.” Presentation to the American Educational Research Association, San Diego, April 2004.

“It’s Not Enough to Get Through the Open Door: Inequalities by Social Background in Transfer

from Community Colleges to Four-Year Colleges” (with Greg Kienzl). Presentation to the American Educational Research Association, Chicago, April, 2003.

“New Light on Transfer from Community Colleges to Four-Year Colleges” (with Greg Kienzl). Presentation to the Association for the Study of Higher Education, Sacramento, CA, November, 2002.

“University/Industry Ties: Boon, Bane, or Both?” (with Esther Hong). Presentation to the American Sociological Association, Chicago, August 2002.

“Class and Economy: New Roles of Community Colleges in Social Stratification and Economic Development.” Presentation to the American Sociological Association, Chicago, August 2002.

“School/Business Partnerships: A Contribution to the Analysis of Business Influence in Educational Policy” (with Esther Hong). Presentation to the American Educational Research Association, New Orleans, April 2002.

“Performance Accountability and Community Colleges: Forms, Origins, and Impacts” (with Jennifer Kim). Presentation to the American Educational Research Association, New Orleans, April 2002.

“Research on the Community College: Challenges and Pathways.” Presentation to the American Educational Research Association, New Orleans, April, 2002

“Community Colleges and Performance Accountability” (with Jennifer Kim). Presentation to the

Association for the Study of Higher Education, Richmond, VA, November 2001.

“Equity for Whom? Equity Issues Facing the Contemporary Community College.” Presentation to the Association for the Study of Higher Education, Richmond, VA, November 2001.

“The Contributions of Sociology to the Study of Higher Education.” Presentation to the Association for the Study of Higher Education, Richmond, VA, November 2001.

“Performance Accountability and Community Colleges.” Presentation to the Community College Conference, Northeast Regional Center for Higher Education, University of Massachusetts, Boston, October 2001.

“Maintaining the Transfer Function in a Non-Baccalaureate Oriented Institution.” Presentation to the American Educational Research Association, Seattle, April, 2001.

“Performance Accountability and Community Colleges: Early Findings.” Presentation to Council for the Study of Community Colleges, Chicago, April 2001.

“Business Partnerships with Educational Institutions: Gift Horse or Trojan Horse?” Presentation to the American Sociological Association, August 2000.

“Pursuing a Policy-Relevant Research Agenda in Higher Education.” Presentation to the Association for the Study of Higher Education, November 1999

“Reassessing the Continuing Education/Community Service Function of the Community College.” Presentation to the Association for the Study of Higher Education, November 1999.

“The State Politics of Charter Schooling: The Cases of California, Minnesota, Massachusetts, and Georgia.” Presentation to the American Sociological Association, August 1999.

“The New Economic Development Role of the Community College: Forms, Origins, and Impacts.” Presentation to the American Education Research Association, April 1999.

“The Uneven Distribution of Contract Training.” Presentation to the Annual Meeting of the American Association of Community Colleges, April 1999.

“The Community College and Workforce and Economic Development: Origins, Variations, and Impacts.” Invited presentation to the Workshop on Community Colleges, Program on Higher Education, Social Science Research Council, February 11, 1999.

“The Community College's Role in Workforce Preparation and Economic Development.” Presentation to the Association for the Study of Higher Education, November 1998.

“The New Economic Role of the Community College: Origins and Prospects.” Presentation to the American Sociological Association, August 1998.

“The Community College as an Area of Research.” Keynote address, joint meeting of the Wisconsin and Illinois Sociological Associations, Rockford, Illinois, October 1997.

“The Future of the Community College.” Invited address, Highland Community College, Freeport, Illinois. October 1997.

“The New Economic Development Role of the Community College.” Presentation to the Hechinger Institute on Education and the Mass Media, Teachers College, Columbia University, October 1997.

“The Community College: Perils and Possibilities.” Invited address, City University of New York community college conference, "The Power of Community Colleges: Organizing for Change," March 1997

Table presider/discussant, sociology of education roundtable, annual meeting of the American Sociological Association, New York, August 1996.

“School Decentralization and Systemic Reform: How Good Is The Fit?” Presentation to annual meeting of the American Sociological Association, August 1995.

“Decentralization to Whom? The Political Origins of School-Based Management” (with Evelyn Arroyo). Presentation to the American Sociological Association, August 1995.

“The Contradictory College.” Invited address, 1995 annual meeting of the American Association of Community Colleges, April 1995.

“The Road (Largely) Not Taken: Two-Year University Centers vs. Community Colleges.” Presentation to annual meeting of the American Educational Research Association, April 1995.

“The States and Science: The Origins of High Tech Industrial Policy at the State Level.” Presentation to annual meeting of the Association of American Geographers, March 1995.

“Excellence and Politics: The Roots of the Educational Excellence Movement.” Invited presentation, Department of Sociology, Columbia University, November 1994

“The Community College: People's College or Bottom College Track?” Thematic presentation, American Sociological Association, August 1994.

“The New Sociology of Education Revisited: Reflections 20 Years Later.” Discussant, American Educational Research Association, April 1994.

“The Phoenix of Teacher Self-Management: The Present, Past, and Future of Teacher Empowerment.” Presentation to the American Educational Research Association, April 1994.

“Equity and Diversity in American Education: Report from Round Table #1 of the U.S. Office of Educational Research and Improvement Conference on ‘Equity and Excellence in Education: The Policy Uses of Sociology’” (with Caroline Persell and Harold Wenglinsky). Report to the U.S. Department of Education, Office of Educational Research and Improvement. January 1994.

“The Hidden Industrial Policy: Science and Technology Policy at the State Level” (with Henry Etzkowitz). Presentation to the American Sociological Association, August 1993.

“National and State Educational Goals and Assessment: Conflicts between Centralization and Decentralization.” Presentation to the American Sociological Association, August 1993.

“The Present Circumstances and Future Prospects of the Community College.” Invited address to conference on “Transfer Issues Confronting Higher Education in America,” sponsored by the American Council on Education and the Community College of Philadelphia, October 1992.

“Access and Opportunity in Higher Education in the 1990s.” Organizer, American Educational Research Association, March 1992.

“Minerva and the Market: The Sources of the Movement for School Choice.” Presentation to the American Sociological Association, August 1991

“Excellence and Politics: The Roots of the Current Educational Reform Movement.” Presentation to the American Educational Research Association, April 1991.

“Quality, Equality, and Politics: The Origins of the Current Educational Reform Movement.” Presentation to the American Sociological Association, August 1990

“The Contradictory College: The Origins, Impact, and Future of the Community College.” Presentation to the Eastern Sociological Society, March 1990.

“Foundation and Power: The Role of Private Foundations in Educational Policymaking.” Presentation to the annual meeting of the American Sociological Association, August 1989.

“Organizational Alternatives to the Present Community College.” Presentation to the annual meeting of the American Educational Research Association, March 1989.

“Class, Politics, and the Community College.” Presentation to the Columbia University Faculty Seminar on Higher Education, December 1988.

“Educators as Politicians: Toward a Fuller Understanding of their Role.” Presentation to the annual meeting of the American Sociological Association, August 1988.

“The Politics of Higher Educational Accountability.” Presentation to the annual meeting of the Eastern Sociological Society, March 1988.

“The Politics of Educational Excellence.” Invited paper, Northeast regional meeting of the Comparative and International Education Society, November 1987.

“State Managers' Interests and Interest Groups' Influence." Presentation to the annual meeting of the American Sociological Association, August 1987.

“The State Manager Theory of the State: A Critique and Reformulation.” Presentation to the annual meeting of the American Sociological Association, August 1986.

“The Effects of Community Colleges: Aid or Hindrance to Socioeconomic Attainment?” Presentation to the annual meeting of the American Educational Research Association, March 1986.

“The State of the Theory of the State.” Organizer and presider, Eastern Sociological Society, April 1986.

“Sociology of Education.” Discussant, Eastern Sociological Society, April 1986.

“Regional Research Organizations.” Organizer and co-chair, American Sociological Association, August 1985.

“The Politics of Community College Expansion.” Presentation to the annual meeting of the American Sociological Association, August 1984.

“Trends in Educational Attainment.” Discussant, American Sociological Association, August 1983.

“Room at the Top: Arguments since 1945 for Greater Access to Higher Education.” Presentation to the annual meeting of Eastern Sociological Society, April 1981.

**PANEL AND WORKSHOP PARTICIPATION**

Invited participant, Roundtable on Higher Education Policy, Bipartisan Policy Center, Washington DC, Nov. 2, 2016

Keynote address, Legislative Advisory Committee, Western Interstate Compact for Higher Education, Albuquerque, Sept. 20, 2016

Invited participant, Review Panel, Lumina Foundation/HCM Strategists, Next Generation Finance Systems, Chicago, Sept. 11, 2014

Invited participant, Expert Roundtable, Using Effectiveness and Efficiency Metrics in State Policy, National Governors Association, May 5, 2014

Invited speaker, Gates Foundation’s Completion by Design initiative, Presidents’ Workshop for Developing a Policy Brief, Miami Beach, July 17-19, 2013

Invited participant, Discussion of “Improved Metrics for Postsecondary Education Performance,” National Governors Association, Washington, DC, December 7, 2012

Invited participant, Discussion of “Equity and Education: Considering Key Research and NRC Work,” National Research Council, Board of Testing and Assessment, Washington, DC, October 5, 2012.

Invited presenter, Workshop on National Education Indicators, National Research Council, Washington DC, January 27-28, 2012

Review Panel, Common College Completion Metrics Work Group, National Governors Association Center for Best Practices, May 13, 2010

Review panel, National Science Foundation, ADVANCE: Increasing the Participation and Advancement of Women in Academic Science and Engineering Careers program, March 2008.

Reviewer, Community College Research Symposium hosted by the U.S. Department of Education, July 2008.

Reviewer, Saudi Arabian Ministry of Higher Education, “Strategic Plan for Higher Education in the Kingdom of Saudi Arabia,” London, May 2007.

Invited presenter, Community College Funder Affinity Partnership, Pittsburgh, PA, May 2006.

Invited participant, Lumina Foundation for Education Grantees in Adult Education, Council for Adult and Experiential Learning, Chicago, October 2004.

Invited participant, Achieving the Dream Partners Meetings, Lumina Foundation for Education, November 2003, February 2004, November 2004, January 2005, April 2005, June 2005, November 2005, January 2006.

Invited participant, Research Roundtable on “Community Colleges and Latino Educational Opportunity,” Harvard Civil Rights Project, Cambridge, MA, October 11, 2003.

Invited participant, Planning Conference for “Achieving the Dream” Initiative, Lumina Foundation, Indianapolis, IN, July 7-8, 2003.

Invited participant, National Forum, National Center for Postsecondary Improvement, Washington, DC, October 2001.

Invited participant, Workshop on “Meeting the Training Needs of Low-Income Workers,” Russell Sage Foundation, New York City, July 2001.

Invited participant, NSF Workshop on “Transitions from High School to Postsecondary Education and the Labor Force,” Washington, DC, October 6, 1999.

Member, Technical Review Panel, Lifelong Learning Task Force, National Center for Education Statistics, Washington, DC, February 25, 1999.

Invited participant, Workshop on the Multiple and Changing Roles of Community Colleges, Program in Higher Education, Social Science Research Council, New York City, February 11-12, 1999.

Participant, research conference, “The Role of the Community College in Workforce Preparation,” Community College Research Center, Teachers College, Columbia University, Harrison Conference Center, Glen Cove, NY, November 12-14, 1998.

Invited participant, research conference, “Community Colleges: Issues and Research,” Spencer Foundation, Washington, DC, March 4-5, 1998.

Member, panel on the community college, Workshop for Editorial Writers, Hechinger Institute on Education and the Mass Media, Teachers College, Columbia University, New York City, October 26, 1997.

Discussion leader, U.S. Department of Education and American Sociological Association sponsored research conference, “Policy Uses of Sociology of Education,” New York, August 21, 1996

Discussion leader, U.S. Department of Education and American Sociological Association sponsored research conference, “Implementing Recent Federal Education Legislation,” St. Petersburg, Florida, January 8-10, 1995

Discussion leader, U.S. Department of Education conference, “Equity and Excellence in Education: The Policy Uses of Sociology,” Washington, D.C., June 1993.

Invited participant, American Council on Education research seminar, “Community College Transfer and Academic Achievement,” Washington, D.C., September 1992.

**ASSOCIATIONS, BOARDS, COMMITTEES**

American Sociological Association (Sections on Sociology of Education, Political Sociology, Economy and Society), 1981-

Chair, Sociology of Education Section, American Sociological Association, August 2003-August 2004.

Secretary-Treasurer, Sociology of Education Section, American Sociological Association, August 1999-August 2002.

Willard Waller Award Committee, Sociology of Education Section, American Sociological Association, 1994-1995, 1999.

Organizer, Regular Sessions on Sociology of Education, Annual Meeting of the American Sociological Association, August 1994.

Nominations Committee, Section on Sociology of Education, American Sociological Association, 1989

Nominations Committee, Section on Political Sociology, American Sociological Association, 1989, 1991, 1993.

American Educational Research Association (Divisions J, L and Sociology of Education SIG), 1989-

Program Chair, Sociology of Education Special Interest Group, April 2001-April 2002.

Association for the Study of Higher Education, 1999-

Secretary, Council on Public Policy in Higher Education, November 2008-November 2011

Program Committee, Association for the Study of Higher Education, 2001-2002.

Politics of Education Association, 1993-

Member, Efficiency and Effectiveness Metrics Working Group, National Governors Association, December 2012-July 2013

Member, Advisory Board, College Arch: Measuring Community College Student Success, College Board, June 2011-2014

Education Advisory Committee, MDRC, Inc., Feb. 2006-2014

Member, Transfer Policy Work Group, College Board, June 2008-June 2012

Committee on “Transitions to College,” Social Science Research Council, 2003-2005

Advisory Board, Transfer and Retention of Urban Community College Students research project, University of Southern California, September 2000-2004

Community College Committee, Eastern Sociological Society, April 1990-April 1992.

**EDITORSHIPS AND EDITORIAL BOARD MEMBERSHIPS**

Editorial Board, *Sociology of Education*, January 2013-2017

Editorial Board, *Educational Evaluation and Policy Analysis*, January 2013-2017

Editorial Board, *Community College Journal of Research & Practice*, Nov. 2011-

Advisory Board, *ASHE Reader on Community Colleges*, August 2011-

Associate Editor, ASHE Series on Higher Education, *Community Colleges*, July 2004-July 2005

Editorial board, *Teachers College Record*, June 1995-August 2000

Advisory board, *Education and Sociology: An Encyclopedia*, Garland Publishing, 1994-2000.

Deputy Editor, *Sociology of Education*, January 1992-January 1995

Editorial Board, *Harvard Educational Review*, May 1976-May 1978. (Co-Chairperson, May 1977-May 1978; Book Review Co-editor, July 1976-May 1977).

**MANUSCRIPT AND PROPOSAL REFEREE**

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| Educational Evaluation and Policy Analysis  Sociology of Education  American Sociological Review  Theory and Society  Teachers College Record  American Educational Research Journal  Educational Researcher  Educational Policy  American Journal of Education  Journal of Higher Education  Review of Higher Education  Higher Education  Higher Education Quarterly | Community College Review  Community College J. of Research & Practice  Johns Hopkins University Press  Routledge  Palgrave Macmillan  Teachers College Press  National Research Council  Spencer Foundation  American Educational Research Association  Association for Study of Higher Education  American Sociological Association  U.S. Fulbright Program |

**GRANTS AND FELLOWSHIPS**

Fulbright Fellowship, for stay at Birkbeck College, University of London, Jan.-June 2016

Lumina Foundation for Education, “Implementing Performance Funding:

Outcomes, Obstacles, Unintended Impacts, and Responses,” $599,000, 2011-2014

Lumina Foundation for Education, “Choosing and Retaining Performance Funding:

Investigating the Factors that Lead States to Enact, Drop, or Significantly Change their Performance Funding Systems,” $517,000, 2008-2011.

Lumina Foundation for Education, “Policy Audit of State Policies Affecting Access to and Success in Community College for Minority and Low-Income Students,” $252,000, 2003-2006.

National Science Foundation, “The States and Science: The Politics of High Technology Industrial Policy at the State Level,” 1993-1995.

Manhattan College Faculty Research Fund, “The Politics of High Technology Policymaking at the State Level,” 1993.

Spencer Foundation, “Schools to the Rescue: The Roots of the Current Educational Reform Movement,” 1990-1991.

Manhattan College Faculty Research Fund, “The Origins of the Excellence Reform Movement in Education,” 1990.

Manhattanville College Faculty Research Fund, “The Impact of the Community College on Student Educational Attainment,” 1984.

National Institute of Education, “The Politics of Supply: Determinants of State Decisions to Expand Two-Year Colleges and their Occupational Programs,” 1980-81.

**HONORS**

Willard Waller Award for the Outstanding Book in Sociology of Education, 1994-1996, Section on Sociology of Education, American Sociological Association, August 1997, for *The Contradictory College* (SUNY Press, 1994)

Critics' Choice Award, American Educational Studies Association, 1995, for *The Contradictory College* (SUNY Press, 1994)